1 2 3	The Response in Air Quality to the Reduction of Chinese Economic Activities during the COVID-19 Outbreak
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9	Key Points:
10 11 12 13 14	• Surface measurements made at more than 800 monitoring stations show that the mean levels of PM _{2.5} and NO ₂ in northern China have decreased by approximately 35 and 60 percent, respectively, after the lockdown following the COVID-19 outbreak of early 2020.
15 16 17	• Simultaneously, the ozone concentration, a secondary pollutant responsible for severe health problems, has increased by a factor 1.5-2.
18 19 20	• The same type of behavior was observed specifically in the city of Wuhan, where COVID-19 outbreak was first reported.

21 Abstract

During the COVID-19 outbreak that took place in early 2020, the economic activities in China were drastically reduced and accompanied by a strong reduction in the emission of primary air

pollutants. On the basis of measurements made at the monitoring stations operated by the China

25 National Environmental Monitoring Center, we quantify the reduction in surface PM_{2.5}, NO₂, CO

26 and SO₂ concentrations in northern China during the lockdown, which started on 23 January

27 2020. We find that, on the average, the levels of surface $PM_{2.5}$ and NO_2 have decreased by

approximately 35 and 60 percent, respectively, between the period 1-22 January 2020 and the period 23 January-29 February 2020. At the same time, the mean ozone concentration has

30 increased by a factor 1.5–2. In urban area of Wuhan, where drastic measures were adopted to

31 limit the spread of the coronavirus, similar changes in the concentrations of $PM_{2.5}$, NO_2 and

- 32 ozone are found.
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34 Plain Language Summary

During the COVID-19 outbreak that took place in China in early 2020, the surface emissions of air pollutants including nitrogen oxides (a product of combustion by traffic, industry and residential activity) have been severely reduced following the lockdown of major cities. The level of aerosol pollution (particulate matter) has also been substantially reduced. These conditions have led to a substantial increase (a factor 1.5 to 2) in the concentration of surface ozone, a powerful oxidant that is responsible for severe health problems including pulmonary and cardiac diseases. The atmospheric concentration of tropospheric ozone results from complex photochemical processes that involve the presence of nitrogen oxides, hydrocarbons and water vapor.

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43 **1. Introduction**

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45 During the COVID-19 outbreak of February-March 2020 that disrupted dramatically the 46 economy in China, emissions of primary pollutants due to transportation and industrial activity, 47 including nitrogen oxides (NOx) and carbon monoxide (CO), were severely reduced in this 48 region of the world. Observations above major cities in China made by the TROPOspheric 49 Monitoring Instrument (TROPOMI) on board of the European Space Agency Sentinel 5P satellite 50 and displayed by the Royal Belgian Institute for Space Aeronomy 51 (https://www.aeronomie.be/en/news/2020/tropomi-observes-impact-corona-virus-air-quality-52 china), highlight a reduction in the tropospheric nitrogen dioxide (NO₂) column of 30-50 percent 53 in early 2020 compared to the values recorded during same period in 2019 (see Figures SI-1 and 54 SI-2 in Supplementary Information). In Wuhan, which was entirely locked down during the 55 coronavirus outbreak, the average tropospheric NO₂ column, which was of the order of 3 x 10^{16} molecules cm⁻² during the 10-15 February 2019 period, was reduced to $(6-7.5) \times 10^{15}$ molecules 56 cm^{-2} 57 during the same period one vear later 58 (https://earthobservatory.nasa.gov/images/146362/airborne-nitrogen-dioxide-plummets-over-59 china).

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61 One question of interest is the response of the secondary pollutants to such large reduction in the

62 emission of primary pollutants and specifically the impact of these changes on the concentrations

63 of surface ozone (O_3) . Measurements made in recent years at the monitoring sites of the China

64 Ministry of Ecology and Environment (http://english.mee.gov.cn) have shown that, in response

to the efforts made to reduce emissions, surface ozone has increased by typically 1-2 ppb per
year at urban and background sites (Sun et al., 2016, Ma et al., 2016, 2019; Gao et al., 2017). Li
et al. (2019a) derived for the period 2013-2017 a positive trend in the MDA8 [daily maximum 8-

68 hour average] ozone of about 10 ppb in the megacity clusters of Beijing and Shanghai, and about

69 2 ppb in the southern region around Guangzhou.

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71 In this study, we analyze measurements made during the February-March 2020 period in 72 northern China and compare them with similar observations made during the same period in 73 2019. We analyze more specifically the situation in two urban areas in which the economic 74 activity has been severely reduced after 23 January 2020. Several limitations in this comparison 75 between the time periods should be stressed. First, the level of air pollution in China has been 76 gradually reduced (Zhang et al., 2019) as a result of sustained mitigation policies implemented in 77 the country as part of the Clean Air Action (State Council of the People's Republic of China, 78 2018). Second, year-to-year variability in regional meteorology (dynamics, cloudiness) generates 79 interannual variability in air quality, specifically in background ozone (Zhang et al., 2016, Wang 80 et al. 2019), which affects our analysis. Third, the period of the COVID-19 outbreak has 81 overlapped with the Chinese holiday season, and this holiday period varies from year-to-year, 82 which makes the comparison difficult.

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84 The origin of the surface measurements considered in the present study is provided in Section 2. 85 We report here the changes in the surface concentrations of nitrogen oxides, carbon monoxide, sulfur dioxide (SO₂), particulate matter (PM) and of ozone. CO is a product of residential 86 combustion and power generation, while NOx, is emitted primarily by industrial activity and 87 88 transportation. It contributes to the photochemical formation of ozone during summertime, while, 89 in polluted areas, it titrates ozone during the winter months. It also contributes to the formation 90 of nitrate particles. SO₂ is a product of coal burning (domestic and energy sectors) and is a 91 precursor of sulfate particles. We focus in Section 3 on the particular situation of Wuhan, which 92 has been completely locked down after 23 January 2020. We then extend in Section 4 our 93 analysis to the capital city of Beijing and in Section 5 to the entire region of northern China.

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2. Data Description

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98 All observational data except for those used for the analysis in Beijing are provided by the 1641 99 operational stations (1605 stations in 2019) of the China Environmental Observation Network 100 operated by the China National Environmental Monitoring Center (http://www.cnemc.cn/en/). 101 The hourly measured concentrations include observations of PM_{2.5} (Particulate Matter with 102 dynamical diameter less than 2.5 µm), PM₁₀, NO₂, O₃, SO₂, CO and AQI (Air Quality Index). In this study, the northern China geographical domain extends from longitudes 106^oE to 125^oE and 103 from latitudes 29⁰N to 41⁰N (Figure SI-3). It includes 853 stations (830 stations in 2019). The 104 105 data representative of the city of Wuhan are provided by the 10 monitoring stations shown in the 106 supporting information (SI) (see Figure SI-3). The observation in Beijing was provided by the 107 Beijing Municipal Environmental Monitoring Center (http://www.bjmemc.com.cn/) including 34 108 stations (Figure SI-3). The same variables were measured hourly. The concentrations values 109 reported here are expressed in mass density. For conversion in volume mixing ratio, use 1 μ g m⁻³

110 = 0.484 ppbv for O₃, 0.505 ppbv for NO₂ and 0.363 ppbv for SO₂. In the case of CO, 1 ng m⁻³ = 0.830 ppmv (surface pressure of 1013 hPa and temperature of 10 0 C).

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3. The situation in the urban area of Wuhan

116 Since the earliest and most drastic measures to reduce people's exposure to the COVID-19 were 117 taken in the city of Wuhan, where the coronavirus outbreak was first reported, we reproduce in Figure 1 the evolution of the surface concentration of PM_{2.5}, NO₂, O₃, CO and SO₂ in this area, 118 119 from the beginning of January to the end of February 2019 and 2020. The vertical red line on the 120 2020 panel indicates the timing of the activities' interruption (January 23, 2020) imposed by the 121 Chinese government. The comparison of the two situations prior to 23 January shows that, in 122 both years, the levels of NO₂ were comparable (about 40-50 micrograms per m³), while, on the 123 average, the levels of PM2.5, CO and SO₂ were slightly lower in 2020 compared to 2019. Ozone 124 concentrations were slightly higher in 2020 than in 2019, but the variability associated with the 125 meteorological situations makes the comparison not straightforward. A more detailed analysis 126 would require an in-depth examination of the differences in meteorological patterns during the 127 different periods, which is out of the scope of the present paper.

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129 An inspection of the 2020 curves in Figure 1 for the period following the 23 January lockdown 130 shows a decrease in the surface concentrations of PM_{2.5}, NO₂ and CO. These three atmospheric 131 species have been affected by the imposed interruption in automobile traffic and the reduction in 132 industrial activity during the lockdown. The concentrations of these species after 23 January also 133 appear to be lower than during the same period in 2019. SO_2 does not exhibit any substantial 134 change, however, probably because this compound is produced by coal burning for residential 135 heating and energy production. These may not have been substantially reduced during the look-136 down of the city. Ozone is increasing after January 23 and is higher than during the same period 137 in 2019.

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- 139 A more detailed analysis can be performed by analyzing the average diurnal variation of PM_{2.5}, 140 NO₂ and ozone for different periods and resulting from measurements at monitoring stations in 141 and close to Wuhan. We first compare (Figure 2) the mean of the concentrations of PM_{2.5}, NO₂ 142 CO, SO₂ and O₃ for two distinct time periods: 1-22 January 2020 (before the lockdown) and from 23 January to 29 February 2020 (during the lockdown). We note that, from the first to the 143 second period, the mean level of $PM_{2.5}$ decreased from about 60 to 40 µg m⁻³ (- 33 percent), that 144 of CO from about 1.1 to 0.85 mg m⁻³ (-23 percent) and that of NO₂ from 45 to 20 μ g m⁻³ (- 55 145 146 percent). At the same time, the ozone concentration maximum around 16:00 LT has increased 147 from 38 to 79 μ g m⁻³ (+ 108 percent). The nighttime ozone concentration was of the order of 20 μ g m⁻³ before 23 January and 45 μ g m⁻³ in the second period. In the case of SO₂, we note a slight 148 149 increase in the average daytime concentration, perhaps associated with enhanced residential 150 burning (heating and cooking) during the lockdown period. In summary, in Wuhan, for all 151 chemical species under consideration except SO₂, there was a clear transition between the 152 periods before and after 23 January 2020. 153
- When we compare (see Figure SI-4) the measured concentrations for the same period of the year (23 January to the end of February) in years 2018, 2019 and 2020, we note a substantial

reduction between 2019 and 2020 in the diurnally mean concentration of the two primary 156 157 atmospheric pollutants: approximately 40 percent in the case of PM_{2.5} and 50 percent in the case 158 of NO₂. The comparison between 2018 and 2020 for the same period of time shows a mean 159 reduction of 38 percent in the case of $PM_{2.5}$ and 60 percent in the case of NO₂. In 2019, the mean 160 diurnal variation of PM_{2.5} is small, while in 2020, a significant decrease in the concentration is 161 observed in the afternoon. This decrease, also observed in the case of NO₂ in 2020, is attributed 162 to the expansion in the vertical of the boundary layer during daytime and the related dispersion 163 of pollutants along the vertical. The photolysis of NO₂ during daytime is another factor that 164 contributes to the lower daytime concentrations. The same processes are expected to occur in 165 2019, but they may have been overshadowed by the fact that daytime emissions of PM_{2.5} and 166 NOx were larger in 2019 than in 2020. In the case of ozone, the peak concentration takes place 167 around 16:00 in both years. The magnitude of this maximum concentration, however, is a factor of 1.7 higher in 2020 compared to 2019 (79 against 46 µg m⁻³). Thus, in Wuhan ozone was 168 substantially higher (35 to 95 percent) during the lockdown period of 2020 than during the same 169 170 period one year earlier. It was 11-68 percent higher when compared to the same period in 2018.

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172 The relation between observations of daytime NO₂ and O₃ at all monitoring stations in Wuhan 173 for the period 23 January to end of February in years 2019 and 2020, respectively is exhibited in 174 Figure 3. In the 2019 case, the concentration of surface ozone decreases substantially with 175 increased concentration of NO₂. When the NO emissions are sufficiently large, nitric oxide (NO) 176 released in the atmosphere converts a large fraction of ozone into NO₂ (Monks et al., 2015). 177 During winter, when the concentration of NOx is high and the level of UV radiation is low 178 (VOC-limited conditions), the ozone production varies inversely with the NOx concentration 179 (Sillman et al., 1990); thus, a reduction in NOx, while all other quantities remain constant, leads 180 to an increase of the ozone concentration. In the 2020 case, with lower NOx concentrations, the 181 observed variations in the ozone concentration could be related to changes in the concentrations 182 of VOCs and CO, in solar irradiance (cloudiness) and in meteorological variability (affecting the 183 transport of background ozone). Unfortunately, no information is provided about the 184 concentration of VOC and its probable reduction during the Chinese lockdown.

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186 Another factor to be taken into consideration is the impact on ozone of the reduction in the 187 atmospheric concentration of aerosol particles. Li et al. (2019b), in their study to explain the 188 ozone increase observed in China during the last years, highlight that aerosols scavenge HO₂ and 189 NOx radicals that otherwise would produce ozone, particularly during summertime. Tie et al. 190 (2005) estimate the importance of heterogenous reactions on the atmospheric abundance of 191 tropospheric oxidants including ozone. Using a three-dimensional model, they show that the loss 192 of the HO₂ radical on the surface of sulfate aerosols substantially reduces the formation of ozone, 193 particularly under high NOx levels. In their model, an additional, yet less intense reduction in 194 ozone results from the effect of aerosols on radiative transfer with impacts on the photolysis rates 195 of species like ozone and NO₂. In eastern China where the aerosol load is high. Tie et al. (2002) 196 estimate that heterogeneous reactions lead to a 60 percent decrease in HO₂ and a 15 percent 197 decrease in ozone relative to a case where these reactions are ignored. The substantial reduction 198 in PM2.5 levels observed following the COVID-19 outbreak in early 2020 could therefore have 199 led to some, but not all of the observed increases in ozone concentration since the atmospheric 200 concentration of HO₂ is relatively low in winter.

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4. The situation in the urban area of Beijing

205 The measures taken in different urban centers of China were not necessarily as strict as in 206 Wuhan. Here we examine the situation in the capital city of Beijing and note that the evolution of 207 concentrations of PM_{2.5}, NO₂, O₃, CO and SO₂ (average of 34 stations) from 1 January to 28 208 February 2019 and 2020, shown in Figure SI-5, is characterized by substantial variability 209 including the occurrence of two pollution events, one between 25 January and 1 February 2020 210 and the second one between 8 and 14 February 2020. What is striking, however, is the significant 211 decrease occurring in the level of NO₂ (- 40 percent) after 23 January 2020 as well as the 212 concomitant increase in the concentration of ozone (+ 50 percent).

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When examining the mean diurnal variation of $PM_{2.5}$, NO_2 , and CO for the periods 1-22 January 2020 and 23 January to 29 February 2020 (Figure SI-6), the difference between the two periods is less pronounced than in Wuhan. This could highlight that the slowdown in economic activities has been less dramatic in the capital city of Beijing than in the locked down city of Wuhan. It could also be related to specific meteorological differences between the two periods.

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220 On the average, the level of $PM_{2.5}$ was somewhat higher during the locked down period than 221 during the three first weeks of January, primarily because of the occurrence of the two pollution 222 peaks (with PM2.5 concentrations higher than 200 µg m⁻³).

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5. Regional Analysis for northern China

227 We now extend our analysis to the northern part of China, which usually experiences high 228 pollutant levels, but was strongly affected by the drastic reduction in economic activities during 229 the COVID-19 outbreak. Figure 4 shows the average concentrations of PM_{2.5}, NO₂ and ozone 230 recorded at the monitoring stations of the national air pollution network. The upper panels refer 231 to the period before the outbreak and the lower panel to the period after the outbreak. The figure 232 clearly shows the substantial differences between the situations before and after 23 January 2020. In the case of PM_{2.5}, the mean concentration levels in the geographical area south of Beijing 233 234 reach more than 120 μ g m⁻³ in the early weeks of January and decrease to typically 60-80 μ g m⁻³ when averaged over the period 23 January to 29 February 2020. A similar reduction is observed 235 in the case of NO₂. The mean concentration values decrease from about 50- 60 µg m⁻³ before 23 236 January to 20-40 µg m⁻³ after that date. The situation is different in the case of ozone. The mean 237 surface concentrations increase from about 20-40 µg m⁻³ during the early weeks of January 2020 238 to 60-70 µg m⁻³after the lockdown in late January and in February. High ozone values (80-90 µg 239 240 m⁻³) are noticed specifically along the coast of the east China Sea in the vicinity of Shanghai and 241 at the tip of the Shandong Province peninsula.

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The mean diurnal variation in the concentrations of $PM_{2.5}$, NO_2 , CO, SO_2 and ozone for the period before the lockdown (1-22 January 2020) and during the lockdown (23 January to 29

February 2020) is shown in Figure 5. Between the two time-intervals, the concentration of $PM_{2.5}$ has decreased from 80-90 µg m⁻³ to 50-60 µg m⁻³ and the level of NO₂ from 35-65 µg m⁻³ to 15-

247 25 µg m⁻³. The concentration of CO has decreased from 1.2-1.5 mg m⁻³ to 0.7-1.0 mg m⁻³ and

that of SO₂ from 14-18 µg m⁻³ to 10-13 µg m⁻³. Again, the diurnal variation in these species is 248 249 influenced by the diurnal evolution of the planetary boundary layer. In the particular case of 250 NO₂, daytime photolysis also contributes to the lower daytime concentration of this gas, and 251 leads to the formation of ozone, whose concentration reaches a maximum around 16:00 LT. 252 Between the two time periods under consideration, the mean ozone concentration has increased from 20-55 μ g m⁻³ to 40-80 μ g m⁻³, with similar diurnal cycles. 253

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6. Conclusions

258 The analysis of the surface concentration of primary and secondary species measured at the 259 monitoring stations operated in northern China reveals a strong transition in air pollution as one 260 crosses the date of the lockdown imposed to China in response to the COVID-19 outbreak. When 261 the averages of the data gathered by more than 800 stations before and during the lockdown are 262 compared, we find that the mean levels of PM_{2.5} and NO₂ in northern China have decreased by 263 approximately (29 ± 22) and (53 ± 10) percent, respectively. The ozone concentrations have 264 increased by a factor 2.0 ± 0.7 . These results are consistent with the recent findings of Huang et al. (2020) and Wang et al., (2020). In the city of Wuhan, where the commercial and industrial 265 266 activity was put to a complete hold on 23 January 2020, PM_{2.5} and NO₂ concentrations measured 267 at 10 local monitoring stations decreased by (31±6) and (54±7) percent, respectively. Ozone 268 concentrations increased by a factor 2.2 ± 0.2 . These observations suggest that, as China is 269 reducing its emissions of primary species such as NOx and SO₂, as part of its efforts to reduce air 270 pollution, wintertime ozone could increase substantially. This seems to be corroborated by the 271 increase in ozone reported in recent years at different locations in China while the levels of SO₂ 272 and NOx were decreasing in response to air pollution mitigation measures (Li et al., 2020). 273 Therefore, the substantial reduction in NOx and PM_{2.5}, as observed during the Chinese 274 lockdown, may not have been sufficient to avoid an ozone penalty.

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Figure 1. Left Panel: Evolution of the mean concentration of PM_{2.5}, NO₂, O₃, CO and SO₂ [all in µg m⁻³ except in mg m⁻³ for CO] recorded by the monitoring stations in the urban area of Wuhan from 1 January 2019 to 28 February 2019. The vertical red line corresponds to the beginning of the Spring Festival on 5 February 2019. The horizontal dash lines indicate the averages of the quantities before and after this date. Right Panel: same as on the left panel, but for the period 1 January 2020 to 29 February 2020. The red vertical line indicates the day (23 January 2010) during which the lockdown of Wuhan was implemented by the Chinese authorities. The horizontal dash lines show the mean of the represented quantities before and after this date. The temperature data are from the Copernicus Climate Change Service (2017).



Figure 2. Average diurnal variation of the PM_{2.5}, NO₂, O₃ CO and SO₂ concentrations [in μg m⁻³]
 ³ except CO in mg m⁻³] recorded in the urban area of Wuhan: Values are for the period 1-22
 January 2020 (left) and for the period 23 January to 29 February 2020 (right). The range of grey
 dots range from 25th percentile and 75th percentile of daily values at each hour in the specified
 period, averaged over all monitoring stations.





Figure 3. Scatterplot representing daytime ozone (11 am to 5 pm) measured at Wuhan as a function of measured nitrogen dioxide between 23 January and 28 February in 2019 (left panel) and during the same period in 2020 (right panel).

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Figure 4. Mean concentration $[\mu g m^{-3}]$ of PM_{2.5} (left), NO₂ (center) and ozone (right) in northern China. Upper Panels: Averages for the period 1-22 January 2020; lower panel: averages for the period 23 January- 29 February 2020. The empty triangles show locations of the cities of Beijing

- 395 (BJ) and Wuhan (WH).
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Figure 5. Mean diurnal variation of $PM_{2.5}$, $NO_2 O_3 CO$ and SO_2 concentrations [all in $\mu g m^{-3}$ 404 except CO in mg m⁻³] in northern China during the period 1 January 2020 to 22 January 2020 405 (left) and 23 January 2020 to 29 February 2020 (right).

Figure 1.



Figure 2.



Figure 3.



Figure 4.



Figure 5.

